

## NIH Principal Investigator Assurance

The purpose of this assurance is a result of NIH Notice NOT-OD-06-054. This notice informs the Principal Investigator (PI) that his/her signature is no longer required as a part of applications, post-submission information, progress reports, and post-award prior approval requests.

As a UCF Institutional compliance requirement, please print, sign, and return the following assurance to:

Office of Research & Commercialization (ORC)  
12201 Research Parkway  
Suite 501  
Orlando, FL 32826-3246

It is the responsibility of ORC to secure and retain the PI signature as part of the institutional review/approval process. The signature of the Principal Investigator is no longer required as a part of a submitted application. Instead, a new compliance requirement is now implemented whereby the applicant organization agrees to secure and retain at the organization a written assurance from the Principal Investigator (PI) **prior** to submitting an application to the PHS.

While this assurance is no longer required as part of the submitted application, it remains a compliance requirement. Therefore, UCF must **retain** a unique signature and date for each submitted application. This assurance must be available to the sponsoring agency or other authorized HHS or Federal officials upon request.

This assurance includes the following certifications: (1) that the information submitted within the application is true, complete and accurate to the best of the PI's knowledge; (2) that any false, fictitious, or fraudulent statements or claims may subject the PI to criminal, civil, or administrative penalties; and (3) that the PI agrees to accept responsibility for the scientific conduct of the project and to provide the required progress reports if a grant is awarded as a result of the application.

**Special Note:** When multiple PIs are proposed in an application, this assurance must be retained for all named PIs.

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Title of the Project

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Principal Investigator Name

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Date

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Principal Investigator Signature